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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.


Application Number	
Filing Date	CONCURRENTLY
First Named Inventor	SRINIVAS GUTTA
Group Art Unit	
Examiner Name	
Attorney Docket Number	US020038

11966 U.S. PTO
10/066453
01/31/02

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	DANIEL J. PIOTROWSKI	Registration No. (Attorney/Agent)	42,079
Signature		Date	1/31/02

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)										Atty. Docket No. US020038					Serial No.														
										Applicant SRINIVAS GUTTA ET AL										Filing Date CONCURRENTLY					Group				
U.S. PATENT DOCUMENTS																													
Ex. Int.		Document Number								Date		Name				Class		Sub-class		Filing Date If Approp.									
		AA 5 5 3 4 9 1 1								7/1996		LEVITAN				349		1											
		AB 5 7 5 8 2 5 7								5/1998		HERZ ET AL				455		2											
		AC																											
		AD																											
		AE																											
		AF																											
FOREIGN PATENT DOCUMENTS																													
		Document Number								Date		Country				Class		Sub-class		Trans.									
		AG 0 7 5 5 1 5 4 A 2								1/1997		EUROPE				H04N		7/167		Yes No									
		AH																											
OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)																													
		AI S. Gutta, "Method and Apparatus for Recommending Television Programming Using Decision Trees", Serial No. 09/466,406, Filed December 17, 1999, Attorney Docket No. PHA 23,902.																											
		AJ J. David Schaffer, "Three-Way Media Recommendation Method and System", Serial No. 09/627,139, Filed July 27, 2000, Attorney Docket No. US000179																											
		AK K. Kurapati et al., "Method and Apparatus for Generating Recommendation Scores Using Implicit and Explicit Viewing Preferences", Serial No. 09/666,401, Filed September 20, 2000, Attorney Docket No. US000239																											
		J. David Schaffer, "Adaptive TV Program Recommender", Serial No. 09/498,271, Filed February 4, 2000, Attorney Docket No. US000018																											
Examiner										Date Considered																			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant.																													

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